

TJK/398

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Otaki et al.

Serial No.: 10/615,041

Filing Date: July 8, 2003

) VOLUME HOLOGRAM RECORDING
) PHOTSENSITIVE COMPOSITION,
) VOLUME HOLOGRAM RECORDING
) PHOTSENSITIVE MEDIUM AND
) VOLUME HOLOGRAM
)
) Group Art Unit:

REPLACEMENT DRAWINGS TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Mail Stop: Missing Parts
Alexandria, VA 22313-1450

Attention: Official Draftsman

Sir:

Enclosed are two (2) sheets of formal drawings, including changes required by the Notice of Missing Parts dated November 26, 2003.

Please charge fees for drawing comparison or any additional fees to **Deposit Account No. 23-2126**.

A duplicate of this transmittal is enclosed.

Date: 12/9/03

WILDMAN, HARROLD, ALLEN & DIXON LLP
225 West Wacker Drive
Chicago, IL 60606
Ph. 312/201-2000
Fax 312/201-2555

Respectfully submitted,

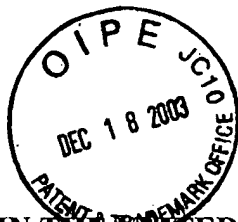
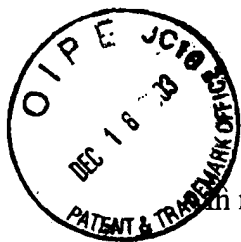
By: 

Timothy J. Keefer, Reg. No. 35,567

CERTIFICATE OF MAILING

hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

12/9/2003
Mrs. J. Fitch



TJK/398

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re Application of: Otaki et al.

Serial No.: 10/615,041

Filed: July 8, 2003

) PHOTSENSITIVE COMPOSITION
) FOR VOLUME HOLOGRAM
) RECORDING, PHOTSENSITIVE
) MEDIUM FOR VOLUME
) HOLOGRAM RECORDING
) AND VOLUME HOLOGRAM
) Group Art Unit:

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Mail Stop: Missing Parts
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following in the above referenced patent application:

1. Duplicate Notice to File Missing Parts of Application;
2. Preliminary Amendment (3 pages);
3. Fee Transmittal in duplicate;
4. Executed Declaration;
5. Executed Power of Attorney;
6. Three Certified Copies of the Japanese priority documents;
7. English Translation of Japanese text Specification as filed with Statement of Accuracy (total pages 91);
8. Executed Assignment;
9. Recordation Form Cover Sheet;
10. Replacement Drawings Transmittal in duplicate;
11. Two (2) sheets of formal drawings; and

12. Check for \$170.00 in payment of late filing fee and assignment fee.

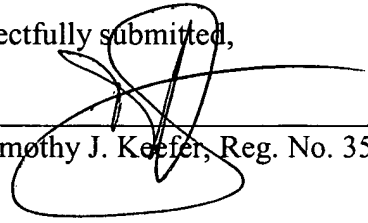
Please acknowledge receipt of the above by returning the enclosed stamped, self-addressed receipt postcard.

Please charge any additional fees to **Deposit Account No. 23-2126**. A duplicate of this transmittal is enclosed.

Date: 12/9/03

Wildman, Harrold, Allen & Dixon LLP
225 West Wacker Drive
Chicago, IL 60606
Ph. (312) 201-2000
Fax (312) 201-2555

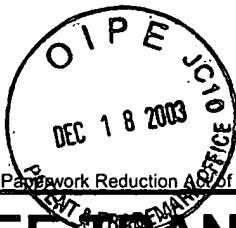
Respectfully submitted,

By: 
Timothy J. Keefer, Reg. No. 35,567

CERTIFICATE OF MAILING

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12/9/2003
Mindy Sitch



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170.00

Complete if Known

Application Number 10/615,041
Filing Date July 8, 2003
First Named Inventor Otaki et al.
Examiner Name
Art Unit
Attorney Docket No. TJK/398

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 23-2126
Deposit Account Name Wildman, Harrold, Allen & Nixon

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | | Extra Claims | | Fee from below | | Fee Paid | |
|--------------------|--|--------------|--|----------------|--|----------|--|
| Independent Claims | | - 20** = | | X | | = | |
| Multiple Dependent | | - 3** = | | X | | = | |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|----------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (\$) 0 | | | | | |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

| | | | | | |
|-------------------|-------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Timothy J. Keefer | Registration No. (Attorney/Agent) | 35,567 | Telephone | 312/201-2000 |
| Signature | | Date | 12/9/03 | | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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